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MICROBIAL BIOCONVERSION TO PRODUCE NUTRACEUTICAL AND PHARMACEUTICAL BIOACTIVE COMPOUNDS

Biokonversi Mikroorganisma untuk Memproduksi Senyawa-Senyawa Bioaktif Nutraseutikal dan Farmaseutikal

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ABSTRACT

The bioconversions of bioactive health supporting compounds using certain microorganisms have long been known, however it has not been widely applied in industrial scale to produce nutraceutical and products of high economic value. This review article will discuss the group of steroids, carotenoids and statins which were produced by microbial bioconversion using the various substrates and conditions. The information presented in this article were collected from scientific books and articles in national and international journals which can be validated and traced back to their sources through many sites such as google.com, google scholar, NCBI and Science Direct. From the information compiled in this article, it can be concluded that the bioconversion of bioactive compounds by microorganisms has shown positive results and potential to be further optimized and applied in an industrial scale to produce natural bioactive compounds as basic ingredients for nutraceutical and pharmaceutical products with high economic value.

Keywords: bioconversion, carotenoids, lovastatin, nutraceutical, steroids

ABSTRAK

Senyawa-senyawa bioaktif penunjang kesehatan hasil biokonversi oleh mikroorganisma telah lama dikenal namun dimanfaatkan secara maksimal pada industri nutraseutik dan farmaseutik untuk menghasilkan produk-produk bernilai ekonomi tinggi. Pada artikel ini akan ditinjau produk-produk nutraseutikal dan farmaseutikal dari golongan steroid, golongan karetinoid, dan golongan statin yang dihasilkan dari proses biokonversi oleh berbagai mikroorganime. Informasi-informasi yang disajikan didapatkan dengan metoda studi lieratur mengacu pada berbagai tulisan ilmiah nasional dan internasuonal yang dapat divalidasi dan dilacak kembali sumbernya melalui situs-situs google.com, google scholar, NCBI dan Science Direct. Dari artikel tinjauan ini dapat disimpulkan bahwa biokonversi senyawa bioaktif oleh mikroorganisma menunjukkan hasil-hasil positif dan berpotensi untuk dioptimasi dan diterapkan lebih lanjut pada skala industri untuk menghasilkan senyawa-senyawa sebagai bahan dasar produk nutraseutik dan farmasetik yang bernilai ekonomi tinggi.

Kata Kunci: biokonversi, karotenoid, lovastatin, nutraseutik, steroid

INTRODUCTION

Bioconversion or biotransformation is the process of converting some substances into new or different products with the same chemical structure as the original compound utilizing biological catalysts such as bacteria and fungus. Biotransformation is described as a chemical's structural alteration aided by microbes or enzymes produced by microorganisms (Pervaiz et al. 2013). Microorganisms adapt to their surrounding conditions, which in this case are the substrates or growing media, and convert the substrate into specific metabolites through the bioconversion mechanism. In the food, pharmaceutical, agrochemical, and other industries, the process of microbial biotransformation in the form of fermentation technology has shown to be a key instrument in enhancing food quality and lowering the usage of synthetic chemicals for millennia.

The bioconversion process is used in pharmaceutical research and development to convert pharmacologically inactive chemicals into pharmacologically active ones to produce high-value bioactive compounds such as steroids, statins, carotenoids, and antibiotics. Various enzymes, such as hydrolytic enzymes, esterases, amidases, and phosphatases, are involved in this bioconversion process, while the conversion of *prodrugs* into appropriate active drugs can also occur non-enzymatically (De Carvalho and Da Fonsesca 2019).

Because microorganisms can naturally synthesize numerous types of enzymes in a short period as metabolites of their development process, the bioconversion process can reach excellence in the creation of bioactive chemicals. As an effective enzyme producer, microorganisms can be cultured and survive in extreme environments such as low or high temperatures, high acid or alkaline conditions. Because of these advantages, microbial bioconversion can catalyze chemical reactions that are difficult to achieve under ordinary synthetic conditions, shorten the synthesis step of the desired final compound, and enable reactions that would be required under conventional synthetic procedures (Kebamo et al. 2015, Smitha et al. 2017). Biotransformation is becoming more popular as a method for synthesizing medicinal molecules from

natural sources. Aromatic chemicals, steroids, alkaloids, coumarins, flavonoids, and terpenoids have all been successfully produced on a commercial scale by biotransformation of microbes employing fermentation technology in modern bioreactors (Mishra et al. 2013, Hegazy et al. 2015). The bioconversion of bacterial and fungal microorganisms produces steroids, carotenoids, and statins, which are discussed in this article. The potential and bioconversion capacity of microbes to secondary metabolites can be enhanced and further employed on an industrial scale to manufacture basic components for nutraceutical and pharmaceutical products with high economic value using the information offered in this article.

STEROID PRODUCTION

Steroid hormones in the human body are naturally known to play a key role in controlling body metabolism, managing human fertility, menopause, osteoporosis, and regulating blood pressure (Boonyaratanakornkit and Pateetin 2015, Acconcia and Marino 2016). Within the pharmaceutical industry, steroids are among the most marketed medical products and represent the second largest category after antibiotics (Fernández-Cabezón et al. 2018). Steroid drugs are widely used as antiestrogen, anticonvulsant, and antiallergic; for the prevention and treatment of various serious diseases such as hormonedependent breast and prostate cancer, obesity, diabetes, rheumatoid arthritis, hypertension, asthma, eczema, inflammation, metabolic disorders, neurodegenerative diseases of the elderly (Shaikh et al. 2012, Raissy et al. 2013, Lossignol 2016).

In the pharmaceutical industry, steroid medicines are made through a series of lengthy chemical conversion processes with low yields. The synthesis of steroid molecules and their precursors becomes more successful with the help of microbial bioconversion as shown in much research (Bhatti and Khera 2012). The use of *deoxycholic acid* as a substrate for bioconversion of steroids by microorganisms has the potential to minimize the stages involved in the manufacture of some hormones such as cortisone hormone.

Cortisone manufacturing traditionally needs 31 stages of chemical processes with low yields (0.16 percent), however, biotransformation employing *Rhizopus arrhizus* ATCC 11145 and *Aspergillus niger* ATCC 9142 reduces the needed steps from 11 to 20 with lower production costs (Carballeira et al. 2009).

The use of phytosterols as a carbon source by *Mycobacterium sp.* and several species of the genus *Actinobacteria* for their growth and reproduction is the basis of the development of microbial bioconversion or biotransformation processes for the largescale production of steroid compounds (Donova and Egorova 2012, Garcia et al. 2012, Galán et al. 2017b). *Mycobacetrium sp.* is currently widely used for sterol bioconversion into androstenedione (AD), which is the precursor for most other steroid class compounds such as testosterone, estradiol, ethinylestradiol, testosterone, progesterone, cortisone, cortisol, prednisone, prednisolone, and other steroids on an industrial scale (Wang et al. 2020, Zhou et al. 2020).

Mycobacetrium sp. is capable of selectively degrading the sterol side chain at C-17.1,2, triggering oxyfunctionalization of the steroid core, and triggering redox reactions at various positions on the steroid molecule to produce high concentration of androstenedione (AD) hormone which applied for a variety of industrial applications (Gerber et al. 2015, Josefsen et al. 2017). Other investigations have shown that *Mycobacterium sp.* can convert b-sitosterol substrate into AD and ADD with concentrations of 75.87% and 83.86%, respectively, proving the effectiveness of sterol bioconversion by *Mycobacterium sp.* Another advantage of the bioconversion of steroids with the help of *Actinobacteria* is to shorten the conversion stage as in the production of testosterone which requires only one step (Eisa et al. 2016, Liu et al. 2016), and the production of boldenone (VIII) from phytosterols which includes two steps, starting from the conversion of phytosterols into AD compounds and continued with the dehydrogenation of AD by *Fusarium* sp. to boldenone (Kutney et al. 2003).

For substrates other than sterol group compounds, the biosynthesis of progesterone and hydrocortisone from simple carbon

sources such as galactose and even ethanol has been successfully produced by recombinant strains of *Saccharomyces cerevisiae* by engineering the endogenous sterol biosynthetic pathway to produce cholesterol-like molecules that function as heterologous-enzymatic multi-route precursors that mimic human steroid biosynthesis, or by detoxifying steroids as fungitoxic molecules with their structural modifications (Hull et al. 2017, Chen et al. 2019). The production rate of sterol bioconversion using *S. cerevisiae* is quite high, where under optimal conditions, the conversion of AD to Boldenone BD can reach 83% (Tang et al. 2019a).

Table 1 below shows several types of microorganisms that successfully produce an AD, ADD, and BD steroids through the bioconversion process.

CAROTENOID PRODUCTION

Carotenoids are terpenoid group compounds generally found in the form of natural pigments that are responsible for the red, orange, and yellow colors in plants and some animals, consisting of more than 600 compounds belonging to this pigment (Britton et al. 2004). Generally, carotenoids are hydrocarbons containing 40 carbon atoms and two terminal rings of conjugated $C = C$ bonds so that carotenoid compounds can bind free radicals and act as effective antioxidants (Edge and Truscott 2018). Regarding its ability as antioxidants, carotenoid compounds play a role in increasing the function of the immune system (Dhinaut et al. 2017), as a "sun protector" to protect the skin from sunburn (Stahl and Sies 2012), inhibiting the development of certain types of cancer, and helping prevent heart disease by preventing the formation of harmful LDL cholesterol (Mozos et al. 2018).

The nutraceutical industry synthetically produces several types of major carotenoids on a large scale such as lycopene, βcarotene, canthaxanthin, zeaxanthin, and astaxanthin. These carotenoids are commonly used in a variety of food products, cosmetics, and health supplements. Synthetic carotenoid products which are mass-produced with low cost as additives, are generally of low quality and are less effective when used as health support so

Table 1. Steroid precursor compounds produced by microbial bioconverstion

synthetic products become less valuable. As an alternative source of carotenoids, microorganisms from various types of yeasts and bacteria show high potential because they can produce large biomass in a faster time, are not limited by seasons, are easy to cultivate, have wide color variations, nontoxic, more efficient extraction process and the final product would decompose faster naturally (Ram et al. 2020).

Compared to bacteria, yeast is known to produce β-carotene better in terms of quality and quantity. Beta carotene pigments are reported to be produced on an industrial scale using the fungus *Blakeslea trispora* and *Phycomyces blakesleeanus*. Cultivation of *B. trispora* in wastewater from Spanish olive processing. The fermentation process able to

produce β-carotene of 61.2 to 64.1 mg L^{-1} cultivation media (Papadaki and Mantzouridou 2021). It is known that in the cultivation of the most productive strains of *P. blakesleeanu*s, the potential for carotenoid production reaches its maximum potential in the system or bioreactor without agitation. In addition to *P. blakesleeanus*, several types of yeast from the genus *Rhodotorula* such as *R. glutinis, R. minuta, R. mucilaginosa, R. acheniorum,* and *R. graminis* are known to have the ability to biosynthesize certain carotenoids such as β-carotene, torulen, and torularhodin, with different levels (Mannazzu et al. 2015, Zhao et al. 2019). The main carotenoids estimated to be produced by *R. glutinis* are β-carotene (about 25-43% of total carotenoids) and torulene (about 28-30% of

Table 2. Carotenoid products from microbial bioconvertion and optimization strategies

Source of table: Saini and Keum (2019)

total carotenoids). Torulene is more commonly produced by the yeasts *Sporobolomyces roseus, S. salmonicolor* and *S. patagonicus* identified as the carotenoids torulene, torularodine, and γ-carotene (Moliné et al. 2012).

Carotenoid production in the *Rhodotorula* genus varies between species due to differences in substrate composition and climatic circumstances (Elfeky et al. 2019). Davoli et al. (2004) categorised the amount of carotenoids generated by this

genus as low (less than 100 μ g g⁻¹), moderate (101 to 505 μ g g⁻¹), and high (more than 500 μ g g⁻¹) (Aksu and Eren 2007). After 4 days of fermentation in malt yeast broth, a novel strain of *Rhodotorula glutinis* isolated from pin cushion flowers was able to produce 266 μ g g⁻¹ of cellular carotenoids and 1.6 μ g L⁻¹ of volumetric carotenoids according to a recent study (El-Banna et al. 2012). The previous study of *R. glutinis* which is cultured in a variety of sources of substrate proved to produce

Table 3. Types of statin compounds and production sources

Table source: Barrios-González and Miranda (2010)

relatively high outputs of carotene, for example when fermented using agricultural wastes such as onion peel waste extract, it could yield up to 204.29 mg L⁻¹ of β-Carotene (Bagy et al. 2016).

Other types of yeast such as *Neurospora intermedia* N-1 isolated from fermented of traditional peanut dregs cake (traditionally known as *oncom*) are also known as carotenoid-producing yeasts and have been investigated as an alternative to food and beverage coloring. Several studies have recommended that soybean dregs from tofu production is a suitable substrate for *Neurospora crassa* fermentation which produces high spore volume and total carotenoid concentration, while *N. crassa* fermentation on tapioca pulp and tofu waste can produce high levels of β -carotene reaching 295.16 μg g^{-1} substrate (Nuraini et al. 2009, Priatni 2014).

One of the most commercially valuable carotenoid pigments is astaxanthin which is used as a potential neuroprotective agent to prevent cardiovascular disease, Parkinson's disease, *Alzheimer's disease* (AD), brain and nerve injury, neuropathic pain, depression, and autism (Fassett and Coombes 2011, Fakhri et al. 2019). Astaxanthin is mainly synthesized from the micro-alga *Haematococcus pluvialis*, with an optimized extraction method capable of producing up to 500 mg L^{-1} of compounds from raw materials (Bauer and Minceva 2019) and by the yeast
Xanthophyllomyces denrorhous/Phaffia X anthophyllomyces *rhodozyma*.

Carotenoids have also been discovered to be produced by a variety of bacteria, in addition to yeast. *Corynebacterium michiganense, Micrococcus roseus, Brevibacterium spp., Bradyrhizobium spp., Gordonia jacobaea*, and *Dietzia natronolimnaea* are among the most studied carotenoid-producing bacteria. *Flavobacterium sp.,* a marine bacteria, has been found to produce 3.8 g L^{-1} of zeaxanthin under optimal culture conditions (Chávez-Parga et al. 2012), whereas *H. pluvialis*, a microalgae, has a significant industrial potential for canthaxanthin synthesis (Panis and Rosales-Carreon 2016). Other bacteria such as *Agrobacterium aurantiacum* and modified *Escherichia coli, Mycobacterium brevicaie, M. lacticola, Rhodobacter sphaeroides, Rhodococcus maris, Streptomyces chrestomyceticus*, and *Erwinia uredovora* also can synthesize carotenoids. Table 2 shows several types of carotenoids produced through the microorganism bioconversion process and their optimization strategies.

STATIN PRODUCTION

Statins are cholesterol-lowering drugs that function by inhibiting 3-hydroxy-3 methylglutaryl coenzyme A reductase (HMG-CoA reductase), an enzyme that catalyzes the synthesis of cholesterol in the bloodstream. Statins are polyketide molecules produced spontaneously as secondary metabolites by numerous species of yeast, particularly under stressful situations. Acetyl Co-A serves as a precursor molecule for statins, bridging primary and secondary metabolism and resulting in the formation of a variety of secondary metabolites such as terpenes and polyketides, including statins (Chiang et al. 2010, Shi and Tu 2015).

Natural statins, including lovastatin and mevastatin are commonly known as compactins. Chemically synthesized statins include atorvastatin, rosuvastatin, uvastatin, and pitavastatin. The statin compactin was discovered by Akira Endo in 1973 as a structural analogue for the substrate β-Hydroxy β-methylglutaryl-CoA (HMG-CoA), produced by *Penicillium citrinum*. Since his discovery, screening has been carried out for lovastatin, previously known as mevinolin K and mevinolin, produced from cultures of *Monascus ruber* and Aspergillus *terreus*, respectively, as the first statin to be approved by the FDA in 1987 (Endo 2010). Genomic studies of *Aspergillus spp* and *A. terreus* showed these molds have a unique gene cluster involved in the biosynthesis of lovastatin compounds (Keller 2015).

Lovastatin for commercial purposes is produced from the direct fermentation of the fungus *Aspergillus terreus* while the semisynthetic statins simvastatin and pravastatin are synthesized by chemical treatment of esteroselective hydroxylation of lovastatin or monacolin (Belwal and Patel 2019). Table 3 shows the types of statins produced by bioconversion of microorganisms and synthetic statins that have been produced commercially.

The yeast strain utilized and the composition of the culture media, such as carbon and nitrogen sources, have an impact on lovastatin production. The highest lovastatin yield was obtained in fermentation with lactose as a carbon source derived from wheat bran substrate optimized to be the best solid substrate for SSF, according to research on the cultivation of two strains *of Aspergillus terreus, A. terreus 4* and *A. terreus 20*, using five different carbon sources. According to the study, the fermentation of *A. terreus* MIM A1 and A2 in soy flour and glycerol produced lovastatin, mevastatin, pravastatin, and monacolin, with 83% of lovastatin contained in the mycelium and 17% secreted in the culture filtrate (Jahromi et al. 2012, Kamath et al. 2015, Abdul-Rahim et al. al. 2017). *A. terreus* has also been reported to convert lovastatin directly and accumulate simvastatin as the

end product of fermentation (Gulyamova et al. 2013), and up to 1.9% lovastatin can be produced compared to the weight of the substrate (Yulineri and Nurhidayat 2012). Recently, genetic engineering has been used to improve the efficacy of statins. For example, pravastatin manufacturing by single-stage fermentation can be generated by reprogramming the enzyme involved in the hydroxylation of compactin in cell of the antibiotics-producing fungus *Penicillium chrysogenum* (McLean et al. 2015).

In addition to lovastatin, other natural anticholesterol statin compounds generated from the fermentation method are monacolin compounds. Monacholine decreases cholesterol by blocking HMG-CoA (5-hydroxy-3-methylglutaryl-coenzyme A) reductase, which slows cholesterol synthesis in the liver (Kazi et al. 2017). Rice substrate fermented with *Monascus purpureus* yeast can be used to produce monacholine. The natural monacolin content of brown rice extract varies based on the *Monascus purpureus* strain and the type of rice used in fermentation, as well as other treatments like irradiation. According to antioxidation measures and histological examinations of rat liver, the lovastatin molecule obtained from fermentation of rice media by *Monascus purpureus* exhibits a high anticholesterol and glutathione peroxidase (GPx) activites with an optimal dose of 5 g/day of lovastatin (Kumar et al. 2011).

CONCLUSION

The finding from many researches and studies compilled of this article indicates the potential of microbial bioconvertion to produce pharmaceutical and nutraceutical compounds such as steroids, carotenoids, and lovastatin on an industrial scale. The amounts of active compounds produced by microorganisms on diverse substrates can be fairly high, such as the bioconvertion of steroid AD to Boldenone BD using *S. cerevisiae* can reach 83% of initial substrate of steroid. The carotenoids production by Rhodotorula genus reaching more than 500 μ g g⁻¹ media, and monacholine production by *Monascus purpureus* reaching 0.2% of the weight of substrate used.

The substrates used for microbial bioconversion to produce desired compunds are generally cheap and easily obtained. The production of steroid by recombinant straint of *S. cerevisiae* worked successfully by using simple carbon source started from galactose to ethanol. The yield of carotenoid compounds could be increased by making use of various carbon sources including the carbon from food industry waste. For example, high concentration of β-caroten could be produce using *Neurospora crassa* mold with soybean and tapioca dregs as substrate. For the production of statin compounds, the substrate commonly used are quite available in the form of carbohydrates either the simple one such as lactose, or the complex one such as starch from corn or rice.

Referring to informations in this article, it is expected that there will be future research to optimize microbial bioconversion process by selection of proper and suitable subtrate and environmental factors such as pH, temperature, light and aeration. More sophisticated method using DNA recombinant also can be applied to design higher substrate conversion yields and product selectivity where the ultimate goal is the production of pharmaceutical and nutraceutical compounds on an industrial scale.

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